

Annex 7: UKRN Code of Conduct

Introduction

The UK Reproducibility Network (UKRN) is a peer-led consortium that aims to ensure the UK retains its place as a centre for world-leading research, by investigating the factors that contribute to robust research, providing training and disseminating best practice, and working with external stakeholders to ensure coordination of efforts across the sector. It is led by the UKRN Steering Group (“the Steering Group”), which currently comprises Marcus Munafò (Bristol), Chris Chambers (Cardiff), Alexandra Collins (Imperial College), Laura Fortunato (Oxford), and Malcolm Macleod (Edinburgh).

The UKRN originated from activity across the UK seeking to understand the factors that contribute to poor research reproducibility and replicability, and to develop approaches to counter these and improve the quality of the research we produce. These issues affect all disciplines, so the UKRN aims for broad disciplinary representation. UKRN’s position is that ongoing efforts to address these issues represent an opportunity to improve our research by reforming culture and practice. Consistent with this, all participants in our activity, events and communications are expected to show respect and courtesy to others.

To this end, everyone participating in UKRN-related activity is required to adhere to the Code of Conduct. This Code of Conduct applies to all UKRN activity including, but not limited to, meetings, email, and online space. Meeting hosts are expected to assist with the enforcement of the Code of Conduct. By taking part, participants indicate their acceptance of the procedures by which the UKRN resolves any Code of Conduct incidents, which may include storage and processing of their personal information.

The UKRN Steering Group is responsible for enforcing the Code of Conduct. It can be contacted by emailing UKRN-admin@bristol.ac.uk, or via an anonymous form available at the UKRN website (www.ukrn.org). All reports will be reviewed by the Steering Group and will be kept confidential.

The UKRN Code of Conduct

The UKRN is dedicated to providing a welcoming and supportive environment for all people, regardless of background or identity. As such, we do not tolerate behaviour that is disrespectful or that excludes or intimidates others. We do not tolerate discrimination or harassment based on characteristics that include, but are not limited to, sex, gender identity and expression, sexual orientation, disability, physical appearance, body size, citizenship, nationality, ethnic or social origin, pregnancy, familial status, veteran status, genetic information, religion or belief (or lack thereof), membership of a national minority, property, age, education, socio-economic status, technical choices, and experience level.

Expected Behaviour

All participants in our events and communications are expected to show respect and courtesy to others. All interactions should be professional regardless of platform (i.e., either online or in-person).

To foster a positive and professional environment we encourage the following kinds of behaviours in all UKRN events and communications:

- Use welcoming and inclusive language
- Be respectful of different viewpoints and experiences
- Gracefully accept constructive criticism
- Focus on what is best for the community
- Show courtesy and respect towards other community members

Unacceptable Behaviour

Examples of unacceptable behaviour by participants at any UKRN event/platform include:

- Written or verbal comments which have the effect of excluding people on the basis of membership of any specific group
- Causing someone to fear for their safety, such as through stalking, following, or intimidation
- Violent threats or language directed against another person
- The display of sexual or violent images
- Unwelcome sexual attention
- Non-consensual or unwelcome physical contact
- Sustained disruption of talks, events or communications
- Insults or put downs
- Sexist, racist, homophobic, transphobic, ableist, or exclusionary jokes
- Excessive swearing
- Incitement to violence, suicide, or self-harm
- Continuing to initiate interaction (including photography or recording) with someone after being asked to stop
- Publication of private communication without consent

Consequences of Unacceptable Behaviour

Participants who are asked to stop any inappropriate behaviour are expected to comply immediately. This applies to any UKRN events and platforms, either online or in-person. If a participant engages in behaviour that violates this Code of Conduct, the organizers may warn the offender, ask them to leave the event or platform (without refund, if applicable), or engage the Steering Group to investigate the Code of Conduct violation and impose appropriate sanctions if necessary.

Incident Reporting Guidelines

Contact points

If someone violates the Code of Conduct during a UKRN-related event we ask that you report it to the event host, organiser, or a designated incident response person. The person you have talked to should make a report to the UKRN about the incident by emailing the Steering Group UKRN-admin@bristol.ac.uk. If this is not possible for some reason, we would be very grateful if you could report the incident yourself.

If a violation occurs in an online space outside of organised events, please email UKRN-admin@bristol.ac.uk about the incident, or complete a report via the anonymous form available at the UKRN website (www.ukrn.org).

What to do if someone is in physical danger

If you believe someone is in physical danger, please ask a staff member or volunteer to contact appropriate emergency services. All event organizers should, before the event, determine who it would be appropriate to contact in case of an incident. Once the incident has been resolved, we ask that it be reported to the Steering Group in the same way as all other incidences.

Code of Conduct Enforcement

A detailed enforcement policy is available in the Enforcement Manual below.

Enforcement Manual

This is the enforcement manual followed by the Steering Group. It is used when we respond to an issue to make sure we are consistent and fair. It should be considered an internal document, but we are publishing it publicly in the interests of transparency. Enforcement of the Code of Conduct should be respectful and not include any harassing behaviours.

The Steering Group

All responses to reports of conduct violations will be managed by the Steering Group. The Steering Group may jointly establish a Review Group, made up of independent representatives drawn from the Stakeholder Engagement Group. One member will be designated chair of the Review Group and will be responsible for all reports back to the Steering Group.

How the Steering Group will respond to reports

When a report is sent to the Steering Group they will immediately reply to the report to confirm receipt. This reply must be sent within 24 hours, and the Steering Group should strive to respond much more quickly than that. If a report does not contain enough information, the Steering Group will attempt to obtain all relevant data before acting. The Steering Group is empowered to act on behalf of the UKRN in contacting any individuals involved to get a more complete account of events. The Steering Group is also empowered to act if any of its members become aware of ongoing behaviours that, when taken individually, do not meet a threshold for reporting, nonetheless when considered in aggregate are disrupting or harassing or unacceptable in other ways.

Urgent Situations: Acting Unilaterally

If the incident involves physical danger, or involves a threat to anyone's safety (e.g. threats of violence), any member of the Steering Group and/or the event host, organizer, or a designated incident response person ("the respondent") may – and should – act unilaterally to protect safety. This can include contacting law enforcement (or other local personnel) and speaking on behalf of the UKRN.

If the act is ongoing, the respondent may act immediately, before reaching consensus, to diffuse the situation. In ongoing situations, the respondent may at their discretion employ any of the tools available to the Steering Group, including bans and blocks. In situations where the respondent acts unilaterally, they must inform the UKRN Steering Group members as soon as possible, and report their actions to the Review Group for review within 24 hours.

Less-Urgent Situations

Upon receiving a report of an incident, the Steering Group will inform the Review Group, who will review the incident and determine, to the best of their ability:

- whether this is an ongoing situation;
- whether there is a threat to anyone's physical safety;
- what happened;
- which part of the Code of Conduct (if any) was violated;
- who (if anyone) violated the Code of Conduct.

This information will be collected in writing, and whenever possible the Review Group's deliberations will be recorded and retained (i.e. email discussions, recorded voice conversations, etc.).

These records will be made available to the Steering Group and UKRN members via Local Network Leads, suitably redacted if necessary (e.g., to retain anonymity).

The Review Group should aim to have a resolution agreed upon within one week. In the event that a resolution cannot be determined in that time, the Review Group will respond to the reporter(s) with an update and projected timeline for resolution.

Resolutions

The Review Group must agree on a resolution by consensus of all members investigating the report in question. If the Review Group cannot reach consensus and deadlocks for over a week, they will turn the matter over to the Steering Group for resolution.

Possible responses may include:

- Taking no further action (if the Review Group determines no violation occurred).
- A private reprimand from the Review Group to the individual(s) involved. In this case, the Review Group chair will deliver that reprimand to the individual(s) over email, cc'ing the Review Group.
- A public announcement of an incident, ideally in the same venue that the violation occurred (i.e. on the listserv for a listserv violation, GitHub for a GitHub violation, etc.). The Review Group may choose to publish this message elsewhere to create a permanent public record.
- An imposed suspension (i.e., asking someone to "take a week off" from a listserv or the UKRN GitHub or OSF repositories). The Review Group chair will communicate this suspension to the individual(s). They'll be asked to take this suspension voluntarily, but if they do not agree then a temporary ban may be imposed to enforce this vacation.
- A permanent or temporary ban from some or all UKRN spaces (listservs, GitHub, OSF groups, in-person events including workshops, etc.). The Steering Group will maintain records of all such bans so that they may be reviewed in the future, extended to new UKRN communication forums, or otherwise maintained.
- Assistance to the complainant with a report to other bodies, for example, institutional offices or appropriate law enforcement agencies.

Once a resolution is agreed upon, but before it is enacted, the Review Group will contact the original reporter and any other affected parties and explain the proposed resolution. The Review Group will ask if this resolution is acceptable and note

feedback for the record. However, the Review Group is not required to act on this feedback.

Finally, the Review Group will make a report to the UKRN Steering Group in the event of an ongoing resolution, such as a ban.

The Review Group will never publicly discuss the details of the issue; all public statements will be made by the Steering Group.

Conflicts of Interest

In the event of any conflict of interest (a Steering Group member, their family member, or someone with whom the Steering Group member has a close academic, personal or employment relationship is involved in a complaint), the Steering Group member must immediately notify the other members, and recuse themselves if necessary.

Maintaining Confidentiality

The Steering Group and Review Group will take care to maintain the confidentiality of information they receive as members of the Code of Conduct enforcement team. This includes names and contact information of the reporters and the reportees (i.e., the people about whom a report has been made).

Information will be held on file so that repeated violations and small violations over a long period may be recorded, and used to inform appropriate action. This information will be stored securely for 10 years, and accessible only to those with a strict need to know. It will not be used for any other purpose or shared with anyone else. Future members of the Review Group may have access to this information. In some instances, information may be shared with the Steering Group.

All members of the Steering Group and Review Group commit to treating all members of the UKRN community fairly and with respect at all times. Information they have access to as a result of being a member of the code of conduct enforcement team will not be used for making decisions outside of this role.